



Dipåtamenton Kontribusion yan Adu'ána

DEPARTMENT OF

REVENUE AND TAXATION

GOVERNMENT OF GUAM *Gubetnamenton Guåhan*

LIFE, ACCIDENT & HEALTH INSURERS LICENSED IN GUAM FILING REQUIREMENTS

No.	REQUIRED FILINGS FOR GUAM	FILING FORMAT	DUE DATE*	DOMESTIC & ALIEN (GUAM) BRANCH INSURER	FOREIGN INSURER
1	NAIC Annual Statement and NAIC Supplement including Supplemental Health Care Exhibits (Parts 1 and 2)	CD or Flash Drive for Foreign Insurer; Hard Bound Book for Domestic/Alien Insurer	4/1	\$50.00 on or before Apr 1 st \$500.00 after April 1 st due date Additional \$100 per day after May 15th	\$50.00 on or before April 1 st \$500.00 after April 1 st due date Additional \$100.00 per day after May 15th
2	Jurat Page, Signed	Additional Paper Copy	4/1	\$20.00 if filed separately from Item No.1	\$20.00 if filed separately from Item No.1
3	Balance Sheet pages	Additional Paper Copy	4/1	\$20.00 if filed separately from Item No.1	\$20.00 if filed separately from Item No.1
4	STATUTORY PAGE - Life Insurance Parts 1 and 2, Accident and Health Exhibit – Direct Business in Guam	Additional Paper Copy	4/1	\$20.00 if filed separately from Item No.1	\$20.00 if filed separately from Item No.1
5	Management Discussion & Analysis	Paper Copy	4/1	\$20.00 if filed separately from Item No.1	\$20.00 if filed separately from Item No.1
6	Statement of Actuarial Opinion signed by a member of the American Academy of Actuaries	Paper Copy	4/1	\$20.00 if filed separately from Item No.1	\$20.00 if filed separately from Item No.1
7	Risk Based Capital (RBC) Report	Paper Copy	4/1	\$20.00 if filed separately from Item No.1	NOT REQUIRED
8	Insurer's Quarterly Financial Statement	Paper Copy	5/15, 8/15 , 11/15	\$50.00 on or before due date	NOT REQUIRED
9	Audited Financial Report	Paper Copy	6/1	\$20.00	NOT REQUIRED
10	Holding Company Registration Statement (Form B) and Registration Statement (Form C)	Paper Copy	6/1	\$20.00	NOT REQUIRED

Notes:

- Filings must be physically delivered at the Guam Department of Insurance by the Insurer's General Agent. Do not mail filings directly to the Guam Department of Insurance. Mailed filings should be directed to the Insurer's General Agent in Guam.
- *Due Date: If the due date falls on a weekend or holiday, the deadline is extended to the next business day