

**PROPERTY & CASUALTY INSURERS
LICENSED IN GUAM
FILING REQUIREMENTS**

No	REQUIRED FILINGS FOR GUAM	FILING FORMAT	DUE DATE	DOMESTIC & ALIEN BRANCH	FOREIGN INSURER
1	NAIC ANNUAL STATEMENT and NAIC SUPPLEMENTS INCLUDING SUPPLEMENTAL HEALTH CARE EXHIBITS (PARTS 1, 2 & 3)	8.5" X 14" Hardcopy to Guam and Electronic Online to NAIC	April 1 st	\$50.00 on or before Apr 1 st \$500.00 after due date (Apr 1 st) \$100.00 per day after May 15th	\$50.00 on or before Apr 1 st \$500.00 after due date (Apr 1 st) \$100.00 per day after May 15th
2	STATUTORY PAGE-Direct Business in Guam	8.5" X 14"	April 1 st	\$20.00 if filed separately	\$20.00 if filed separately
3	INSURANCE EXPENSE EXHIBIT	8.5" X 14"	April 1 st	\$20.00 if filed separately	\$20.00 if filed separately
4	MANAGEMENT DISCUSSION & ANALYSIS		April 1 st	\$20.00 if filed separately	\$20.00 if filed separately
5	2% ASSESSMENT FEES on COMMERCIAL & INDUSTRIAL LIABILITY PREMIUMS WRITTEN ON CASUALTY & PROPERTY RISKS LOCATED IN GUAM	Guam Form Form I-8	April 1 st	\$20.00 if filed separately	\$20.00 if filed separately
6	STATEMENT OF ACTUARIAL OPINION SIGNED BY A MEMBER OF THE AMERICAN ACADEMY OF ACTUARIES	Letter Size	April 1 st	\$20.00 if filed separately	\$20.00 if filed separately
7	RISK BASED CAPITAL (RBC) REPORT	8.5" X 14" Hardcopy to Guam and Electronic Online to NAIC	April 1 st	\$20.00 if filed separately	FOREIGN NOT REQUIRED
8	INSURERS QUARTERLY STATEMENT	8.5" X 14" Hardcopy to Guam and Electronic Online to NAIC	1 st Qtr-May 15 2 nd Qtr-Aug 15 3 rd Qtr-Nov 15	\$50.00 on or before due date	FOREIGN -NOT REQUIRED

9	AUDITED FINANCIAL REPORT	Letter Size	June 1 st	\$20.00	FOREIGN -NOT REQUIRED
10	HOLDING COMPANY REGISTRATION STATEMENT (Form B) and REGISTRATION STATEMENT (Form C)	Letter Size Hardcopy to Guam	June 1 st	\$20.00	FOREIGN -NOT REQUIRED
11	QUARTERLY AUTOMOBILE ASSIGNED RISK PLAN REPORT	Letter Size	1 st Qtr-May 15 2 nd Qtr-Aug 15 3 rd Qtr-Nov 15	\$20.00 RESIDENT GENERAL AGENT MUST FILE	\$20.00 RESIDENT GENERAL AGENT MUST FILE

Apsc/021813

Filename: GuamFilings-P&C (w)

2013 Various Correspondence