

**LIFE, ACCIDENT & HEALTH INSURERS  
LICENSED IN GUAM  
FILING REQUIREMENTS**

No	REQUIRED FILINGS FOR GUAM	FILING FORMAT	DUE DATE	DOMESTIC & ALIEN BRANCH	FOREIGN INSURER
1	NAIC ANNUAL STATEMENT and NAIC SUPPLEMENTS INCLUDING SUPPLEMENTAL HEALTH CARE EXHIBITS (PARTS 1, 2 & 3)	8.5" X 14" Hardcopy to Guam and Electronic Online to NAIC	April 1 <sup>st</sup>	\$50.00 on or before Apr 1 <sup>st</sup> \$500.00 after due date (Apr 1 <sup>st</sup> ) \$100.00 per day after May 15th	\$50.00 on or before Apr 1 <sup>st</sup> \$500.00 after due date (Apr 1 <sup>st</sup> ) \$100.00 per day after May 15th
2	STATUTORY PAGE-Direct Business in Guam	8.5" X 14"	April 1 <sup>st</sup>	\$20.00 if filed separately	\$20.00 if filed separately
3	INSURANCE EXPENSE EXHIBIT	8.5" X 14"	April 1 <sup>st</sup>	\$20.00 if filed separately	\$20.00 if filed separately
4	MANAGEMENT DISCUSSION & ANALYSIS	Letter Size	April 1 <sup>st</sup>	\$20.00 if filed separately	\$20.00 if filed separately
5	STATEMENT OF ACTUARIAL OPINION SIGNED BY A MEMBER OF THE AMERICAN ACADEMY OF ACTUARIES	Letter Size	April 1 <sup>st</sup>	\$20.00 if filed separately	\$20.00 if filed separately
6	RISK BASED CAPITAL (RBC) REPORT	8.5" X 14" Hardcopy to Guam and Electronic Online to NAIC	April 1 <sup>st</sup>	\$20.00 if filed separately	FOREIGN NOT REQUIRED
7	INSURERS QUARTERLY STATEMENT	8.5" X 14" Hardcopy to Guam and Electronic Online to NAIC	1 <sup>st</sup> Qtr-May 15 2 <sup>nd</sup> Qtr-Aug 15 3 <sup>rd</sup> Qtr-Nov 15	\$50.00	FOREIGN NOT REQUIRED

8	AUDITED FINANCIAL REPORT		June 1 <sup>st</sup>	\$20.00	FOREIGN -NOT REQUIRED
9	HOLDING COMPANY REGISTRATION STATEMENT (Form B) and REGISTRATION STATEMENT (Form C)	Letter size Hardcopy to Guam	June 1 <sup>st</sup>	\$20.00	FOREIGN -NOT REQUIRED

*Apsc/021813*

*Filename: GuamFilings-L&H (w)*

*2013 Various Correspondence*